

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. YAMAP0800US
First Inventor Hooper et al.
Title A METHOD OF GROWING A ...
Express Mail Label No. EJ464621493US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 36]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
- Oath or Declaration [Total Pages]
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: Cert. of Mailing & Letter Identifying Inventors

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: /

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Neil A. DuChez				
Address	Renner, Otto, Boisselle & Sklar				
	1621 Euclid Avenue, 19th Floor				
City	Cleveland	State	OH	Zip Code	44115
Country	USA	Telephone	216-621-1113	Fax	216-621-6165

Name (Print/Type)	Neil A. DuChez	Registration No. (Attorney/Agent)	26,725
Signature		Date	02/19/2002

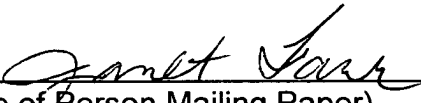
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date February 19, 2002, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EJ464621493US addressed to: Box Patent Application, Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231.

Janet Farr

Typed or Printed Name of Person Mailing Paper


(Signature of Person Mailing Paper)

2006 FEB 20 09 26 AM

YAMAP0800US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hooper et al.

Express Mail No.: EJ464621493US

Filed: February 19, 2002

For: A METHOD OF GROWING A SEMICONDUCTOR MULTI-LAYER STRUCTURE

LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The attached application is submitted herewith absent a Combined Declaration and Power of Attorney in accordance with 37 CFR §1.53(b) and (d). The inventors are as follows:

Stewart Edward Hooper
3 Clevedon Court, Blenheim Road
Kidlington Oxon OX5 2JS, U.K.

Jennifer Mary Barnes
98 Rectory Grove, Hampton
Middlesex TW12 1EF, U.K.

Valerie Bousquet
The top flat, Manor House, Henley Road
Sandfod on Thames, Oxford OX4 4YN, U.K.

Jonathan Heffernan
45 Denton Close, Eynsham Road
Oxford OX2 9BW, U.K.

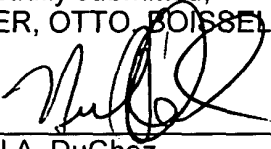
2006T20" 6926/00T

to: It is requested that the Patent Office direct all correspondence regarding this application

Neil A. DuChéz
Renner, Otto, Boisselle & Sklar, LLP
1621 Euclid Avenue, Nineteenth Floor
Cleveland, Ohio 44115

Respectfully submitted,
RENNER, OTTO, BOISSELLE & SKLAR, LLP

By



Neil A. DuChéz
Reg. No. 26, 725

206T20" 6926/00T